

## Industrial Property Rights (IPR) – Policy

Lonza is fully aware of the value residing in its patents, trademarks, know-how and technology licenses which form the IPR portfolio that enables Lonza to enhance its competitive position, the commercial exploitability of its technologies, and to generate benefits for itself and for its customers. To this effect, Lonza follows an active IPR policy and is committed to protecting, maintaining and defending its IPR.

### Patents and Know-How

Patents provide a decisive competitive advantage and constitute the best possible protection of Lonza's proprietary processes and products. Therefore, as a rule, Lonza actively pursues patent protection for the inventions arising from its R&D activities.

Lonza either owns or has the right to exploit the inventions and the know-how (whether patentable or not) developed by its R&D efforts. Such inventions at Lonza are typically chemical or biotechnological processes and substances, which may originate from R&D activities conducted on own products or processes, or from product or process developments performed by Lonza in customer projects. They may also originate from R&D activities of third parties which are substantially based on Lonza know-how or which are funded by Lonza.

Lonza's patent portfolio is reviewed on a regular basis in order to determine which patents may be released for license or sale, or which may be abandoned, thus achieving an optimized cost/value balance of the portfolio.

### Trademarks

The main purpose of Lonza's trademarks is to clearly distinguish Lonza's products and services from those of its competitors. Lonza's trademarks stand symbolically for the quality and properties of Lonza's products and for the reliability of Lonza's services.

The «Lonza» logo and the wordmark «Lonza» are Lonza's most important trademarks. They contribute to Lonza's global appearance and are an essential part of Lonza's corporate identity. The «Lonza» logo and trademark are registered in the name of Lonza Ltd only. The right to use the trademark «Lonza» as company name or part of the company name, is only granted to affiliated companies of Lonza.

### Licensing

At Lonza, licensing is considered an important tool to either generate revenues, or to expand the technology platforms. In consequence, the licensing activities must reflect the market and product strategies of Lonza's Business Sectors and must adapt to their individual needs. Based on this premise, each Business Sector follows a flexible inlicensing and outlicensing policy, intended to meet the individual requirements of each product or field of business on a case by case basis, thus allowing for a best possible market response.

In particular, Lonza's licensing policy is intended to

- support the marketing of products and services
- expand the product lines
- introduce technical improvements and new technologies
- optimize the existing technologies
- expand the know how basis.

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The scope of the inlicensing and outlicensing activities at Lonza typically comprises the acquisition or granting of patent, know-how or trademark licenses for the use of manufacturing processes, the application of products or processes, the use of equipment, the use of special software and the use of trademarks.

### Defense and Enforcement of IPR

Lonza is determined to actively defend, protect and enforce its IPR (patents, trademarks, licenses) by pursuing infringers and by opposing interfering patents and trademarks.

This Policy applies to all companies and sites of Lonza.



**Richard Ridinger**  
Chief Executive Officer